

Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 089326-0101		SERIAL NO.	
INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				APPLICANT <div style="text-align: center;">DiPierro</div>			
				FILING DATE		GROUP ART UNIT	

J1803 U.S. PTO
09/993450
 11/06/01

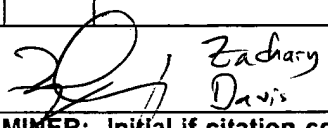
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
20	A1	5,982,892	11/9/99	Hicks et al.	705	51	12/22/97
20	A2	5,999,622	12/7/99	Yasukawa et al.	380	4	11/22/95
20	A3	6,070,198	5/30/00	Krause et al.	709	321	10/16/96
20	A4	6,148,336	11/14/00	Thomas et al.	709	224	3/13/98
20	A5	6,154,843	11/28/00	Hart, Jr. et al.	713	201	3/21/97
20	A6	6,295,639	9/25/01	Van Der Meer	717	1	9/1/98

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
20	A7	Printout from web site crypteon.co.uk/desdll , "DesDLL Documentation - 3DES Encryption", Crypteon, printed October 10, 2001
20	A8	Printout from web site sun.com/software/white-papers/wp-security , "Software White Papers", Sun Microsystems, Inc., printed October 15, 2001
20	A9	Printout from web site vbpi.com , "Make Passwords Secure with the Crypto API", Daniel Appleman, printed October 10, 2001
20	A10	Printout from web site crypteon.co.uk/desdll ; "3DES File Encryption API Toolkit", Crypteon, printed October 20, 2001
20	A11	Printout from web site tcfs.it ; "Transparent Cryptographic System", numerous publishers and dates, printed October 10, 2001

EXAMINER Zachary Davis	DATE CONSIDERED <div style="text-align: center; font-size: 1.2em;">6/6/05</div>
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* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
20	A12	Printout from web site netlib.com ; "Netlib Encryptionizer", Communications Horizons, last updated November 20, 2000, printed October 10, 2001					
20	A13	Printout from web site abisoft.net ; "C++ Encryption Package API Documentation Version 2.1", ABI Software Development, printed October 10, 2001					
20	A14	Printout from web site eracom.com.au ; "Encryption Services Application Programming Interface", Eracom Pty Ltd., dated 1999, printed October 10, 2001					
20	A15	Printout from web site java.sun.com ; "Java Security API Overview", Sun Microsystems, Inc., printed October 10, 2001					
20	A16	Printout from web site microsoft.com ; "Microsoft Windows CE Developer's Kit", Microsoft Corporation, last updated August 30, 2001, printed October 10, 2001					
20	A17	Printout from web site uil.net ; "The UIL Security System", Unlimited Intelligence Limited, dated 2001, printed October 10, 2001					
20	A18	"ONC+ Developer's Guide", Sun Microsystems, Inc., dated 1998; Contents, Preface, Related Books, pp. 98-109					
20	A19	Encrypted File Systems, example print-out from http://snafu.mit.edu/~fubob/pubs/fu-masters-slides.pdf , dated April 26, 1999, Kevin Fu					
20	A20	Pretty Good Privacy encryption systems, such as those sold by McAfee, example print-out from http://www.pgp.com/products/mail-file-encryption/default.asp , undated.					
EXAMINER				DATE CONSIDERED			
 Zachary Davis				6/6/05			
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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: April 26, 2002

(use as many sheets as necessary)

Complete if Known

Application Number	09/993,450
Filing Date	11/06/2001
First Named Inventor	Massimo DiPierro
Group Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	089326-0101

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
ED	A1	6,185,681 Ba		Zizzi	02/06/2001	
ED	A2	5,748,738		Bisbee et al.	05/05/1998	
ED	A3	4,981,370		Dziewit et al.	01/01/1991	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature

Zachary Davis

Date Considered

4/6/05

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

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Substitution for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: June 24, 2003 (Use as many sheets as necessary)		Complete if Known	
		Application Number	09/993,450
Filing Date		11/06/2001	
First Named Inventor		Massimo DiPierro	
Group Art Unit		2131	
Examiner Name		Unknown	
Attorney Docket Number		089326-0101	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
20	A1	6,021,491		Renaud	02/01/2000	
20	A2	5,953,419		Lohstroh et al.	09/14/1999	
20	A3	5,892,904		Atkinson et al.	04/06/1999	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
20	A4		WO 00/59177 A1		PCT	10/05/2000		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
20	A5	U.S. Patent Application Publication No. US2002/0062451 A1, Inventors Scheidt et al., Publication Date 05/23/2002 (41 pages).	
20	A6	U.S. Patent Application Publication No. US 2001/0037454 A1, Inventors Botti et al., Publication Date 11/01/2001 (8 pages).	
20	A7	PCT. International Search Report, International Application No. PCT/US 02/35598, International Filing Date 05/11/2002 (7 pages).	

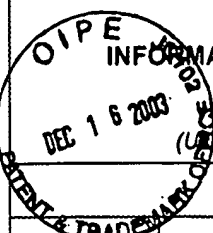
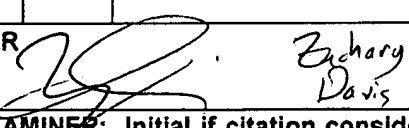
Examiner Signature	<i>Richard A. Davis</i>	Date Considered	6/6/05
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				FILING DATE 11/06/2001		GROUP ART UNIT 2131		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
70		5,892,904	4/6/99	Atkinson, et al.				
70		5,953,419	9/14/99	Lohstroh, et al.				
70		6,021,491	2/1/00	Renaud				
70		US 2001/0037454	11/1/01	Botti, et al.				
70		US 2002/0062451	5/23/02	Scheidt, et al.				
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
70		WO 00/59177 A1	10/5/00	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
70		International Search Report from Patent Cooperation Treaty						
EXAMINER  Zachary Davis				DATE CONSIDERED 6/6/05				
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